

Audit and Risk Assurance Committee

The 83rd meeting of the Audit and Risk Assurance Committee will take place as follows:

Date: Wednesday 9 March 2022

Time: 2pm

Venue: Videoconference

Members: Sue Gallone (Chair)
Julie Parker
David Stirling
Kathryn Foreman
Heeral Davda

Enquiries: Ewan Shears, Secretary to the Committee
ewan.shears@hcpc-uk.org

Agenda

1. **Chairs welcome and introduction** verbal
2. **Apologies for absence** verbal
3. **Approval of agenda** verbal
4. **Declaration of members' interests** verbal
5. **Minutes of the Audit Committee meeting 10 November 2021** enclosure 1
For approval
ARAC 01/22
Ewan Shears – Secretary to the Committee
6. **Matters arising** enclosure 2
To note
ARAC 02/22
Ewan Shears – Secretary to the Committee

Papers for discussion/decision

7. **Strategic Risk Register** enclosure 3
Including:
ARAC 03/22
 - *Data Privacy and Information Governance Deep Dive*
For discussion and recommendation
Claire Amor, Head of Governance
Roy Dunn, Chief Information Security and Risk Officer
8. **External Audit 2021-2022 update** verbal
For discussion
Haysmacintryre
NAO
9. **Audit planning and progress against external audit recommendations** verbal
For discussion
Alastair Bridges, Executive Director of Resources and Business Performance
Alan Keshtmand, Head of Finance
10. Papers from the HCPC's Internal Auditors, BDO LLP as follows;
 - I. **Safeguarding Controls review** enclosure 4
To note
ARAC 04/22
Bill Mitchell and William Jennings, BDO LLP
 - II. **Internal Audit Plan 2021-22 progress update** enclosure 5
For approval
ARAC 05/22

Bill Mitchell and William Jennings, BDO LLP

III. Draft Internal Audit Plan 2022-23

For approval

Bill Mitchell and William Jennings, BDO LLP

enclosure 6
ARAC 06/22

To note

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| 11. | Internal audit recommendations tracker
Ewan Shears, Secretary to the Committee | enclosure 7
ARAC 07/22 |
| 12. | Committee forward work plan
Ewan Shears, Secretary to the Committee | enclosure 8
ARAC 08/22 |
| 13. | Any other business
Previously notified and agreed by the Chair | verbal |
| 14. | Date & time of next meeting:
Thursday 9 June 2022, 1pm | verbal |

Private agenda

15. **Resolution**

The Committee is invited to adopt one or more of the following:

'The Committee hereby resolves that the remainder of the meeting shall be held in private, because the matters being discussed relate to one or more of the following;

- (a) information relating to a registrant, former registrant or applicant for registration;
- (b) information relating to an employee or officer holder, former employee or applicant for any post or office;
- (c) the terms of, or expenditure under, a tender or contract for the purchase or supply of goods or services or the acquisition or disposal of property;
- (d) negotiations or consultation concerning labour relations between the Council and its employees;
- (e) any issue relating to legal proceedings which are being contemplated or instituted by or against the Committee or the Council;
- (f) action being taken to prevent or detect crime or to prosecute offenders;
- (g) the source of information given to the Committee in confidence; or
- (h) any other matter which, in the opinion of the Chair, is confidential or the public disclosure of which would prejudice the effective discharge of the Committee's or Council's functions.'

Item	Reason for Exclusion
16	H

16. **Private minutes of the Audit and Risk Assurance Committee meeting of 3 December 2021.** enclosure 9
For approval ARAC 09/22
Ewan Shears – Secretary to the Committee
17. **Internal Assurance Report** enclosure 10
For discussion ARAC 10/22
Claire Amor, Head of Governance
Roy Dunn. CIRSO
Anna Raftery, QA Lead
18. **Any other business** verbal
Previously notified and agreed by the Chair